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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

09/936668

Docket #  
19 SEP 2001  
MCA-448A PC/US

In Re Application Of: **Jack Thacher Leonard**

Serial No.  
Not yet assigned

Filing Date  
Not yet assigned

Examiner  
Not yet assigned

Group Art Unit  
Not yet assigned

Title: **METHOD OF ULTRAFILTRATION**

Address to:  
**Assistant Commissioner for Patents**  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 C.F.R. 1.97(b) or 1.97(c))

531 Rec'd PCT/7

Docket No.  
MCA 48A PCT/US

09/956668

13 SEP 2001

In Re Application Of: Jack Thatcher Leonard

Serial No.  
Not yet assignedFiling Date  
Not yet assignedExaminer  
Not yet assignedGroup Art Unit  
Not yet assigned

Title: METHOD OF ULTRAFILTRATION

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 133577 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_ on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 9-13-01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Kimberly Atwood*  
Signature of Person Mailing Correspondence

Kimberly Atwood

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*John Dana Hubbard*  
Signature

Dated: September 13, 2001

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